

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known see 37 C.F.R. 1.5)

09/700517INTERNATIONAL APPLICATION NO.
PCT/EP99/03437INTERNATIONAL FILING DATE
MAY 19, 1999(EARLIEST) PRIORITY DATE CLAIMED
MAY 20, 1998

TITLE OF INVENTION

MONOSTABLE FERROELECTRIC ACTIVE-MATRIX DISPLAY

APPLICANT(S) FOR DO/EO/US

Hans-Rolf DÜBAL, Rainer WINGEN and Toshiaki NONAKA

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

PCT/IB/304, 402

PCT/ISA/210, PCT/IPEA/416, 409

EXPRESS MAILMailing Label Number: **EL5850333252US**Date of Deposit: **November 16, 2000**

I hereby certify that this paper or fee is being deposited with the United States Postal Service

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(Signature of person mailing paper or fee)

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.50)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NO.

09/700517

PCT/EP99/03437

514453-3853

17. ☒ The following fees are submitted

(CALCULATIONS - PLEASE ONLY)

Basic National Fee (37 CFR 1.492(a)(1)-(5):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO \$1,000.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$ 860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but
international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT = (\$ 860.00)

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)). (\$

6	Claims	Number Filed	Number Extra	Rate	(\$
Total Claims	6	- 20 =	0	X \$18.00	(\$
Independent Claims	1	- 3 =	5	X \$80.00	(\$

MULTIPLE DEPENDENT CLAIM(S) (if applicable) /+ \$270.00 (\$

TOTAL OF ABOVE CALCULATIONS = (\$ 860.00)

☐ Applicant claims small entity status. See 37 C.F.R. 1.27. The fees indicated above are reduced by 1/2. (\$

SUBTOTAL = (\$ 860.00)

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). (\$

TOTAL NATIONAL FEE = (\$ 860.00)

Fee for recording the enclosed assignments (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property + (\$

TOTAL FEES ENCLOSED = (\$ 860.00)(Amount to be: \$
refunded:

(charged: \$

a. ☒ A check in the amount of **\$860.00** to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **50-0320**. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**WILLIAM F. LAWRENCE
FROMMER LAWRENCE & HAUG LLP
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NEW YORK, NEW YORK 10151**

Dated: November 16, 2000

SIGNATURE

William F. Lawrence
NAME

28,029
REGISTRATION NUMBER

09/700517

52810

Attorney Docket No. 514453-3853

New U.S. Patent Application filed **November 16, 2000** for application entitled:

MONOSTABLE FERROELECTRIC ACTIVE-MATRIX DISPLAY

corresponding to PCT/EP99/03437

filed May 19, 1999

Express Mail No.: EL585033252US

Date of Deposit: November 16, 2000

I hereby certify that this application and the accompanying papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

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Assistant Commissioner for Patents
Washington, D.C. 20231.

Charles S. Saxon